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A 3D perspective view of the self-trephining device. The device consists of a main body with a series of retention arches along its length. At the front, there is a snorkel with a flared tip. A vertical stent is attached to the side of the body. A single rail is shown at the base of the body. Dimensions are indicated with arrows: Stent Height (0.330 mm), Snorkel (0.250 mm Long), Snorkel Inside Diameter (0.120 μm), Retention Arches, Self-Trephining Tip, Body (1.00 mm Long), and Rail (Only one rail is visible).

Catalogue #	Description
GTS100L	Left-flow iStent attached to disposable inserter, designed for left eye
GTS100R	Right-flow iStent attached to disposable inserter, designed for right eye
GTS100i	Stand-alone inserter (no stent attached)

In addition to the adverse events reported in **Table 5** (i.e., adverse events that occurred at an incidence of $\geq 2\%$ in either group), adverse events that occurred at $< 2\%$ in both

groups included worsening of glaucoma and allergy to cosmetics. Adverse events that occurred at < 2% in the treatment group included age-related macular degeneration, uveitis, blepharospasm, dyesthesia and/or photophobia, endo pigment, eye splash injury, eyelid bruise due to fall, metallic particle on iris, mild throbbing pain, periorbital hematoma due to fall, possible bacterial conjunctivitis, seasonal allergies, and subconjunctival hemorrhage secondary to aspirin. Adverse events that occurred at < 2% in the control group included blepharoconjunctivitis, worsening of age-related macular degeneration, anterior chamber (1+) cells at one month requiring treatment, burning due to dry eye, carotid artery disease, choroidal tubercle, and conjunctivitis.

Secondary Surgical Interventions

One subject in the treatment group underwent trabeculectomy (**Table 6**). Another subject underwent focal argon laser coagulation for diabetic macular edema. Stent-specific secondary surgical interventions (**Table 6**) were reported in 5 randomized iStent® subjects (3 stent repositionings, 1 stent removal and replacement, 1 Nd:YAG laser iridoplasty) to resolve stent malposition or obstruction observed by investigators in the early postoperative period.

In the cataract surgery only group, two subjects underwent laser trabeculectomy, one subject underwent deep sclerectomy followed by revision and laser sclerostomy five weeks later, one subject underwent vitrectomy for macular traction, macular hole and macular edema, and one subject underwent three separate procedures of wound resuture because of wound leak, pupilloplasty, and IOL removal and replacement.

TABLE 6
SECONDARY SURGICAL INTERVENTIONS – POSTOPERATIVE OCULAR ADVERSE EVENTS
SAFETY POPULATION

Secondary Surgical Intervention Adverse Events	Randomized Group	
	Cataract Surgery with iStent® N = 116 n (%)	Cataract Surgery Only N = 117 n (%)
Paracentesis	31 (27%)	34 (29%)
Nd:YAG laser capsulotomy	7 (6%)	11 (9%)
Stent repositioning	3 (3%)	0 (0%)
Punctal cautery/punctal plugs	1 (1%)	3 (3%)
Trabeculectomy	1 (1%)	2 (2%)
Nd:YAG laser iridoplasty for stent obstruction	1 (1%)	0 (0%)
Focal argon laser photocoagulation	1 (1%)	0 (0%)
Stent removal and replacement	1 (1%)	0 (0%)
Deep sclerectomy/sclerostomy	0 (0%)	1 (1%)
IOL removal and replacement	0 (0%)	1 (1%)
LASIK	0 (0%)	1 (1%)
Pupilloplasty	0 (0%)	1 (1%)
Vitrectomy	0 (0%)	1 (1%)
Wound resuture due to wound leak	0 (0%)	1 (1%)

1. included paracentesis at the 5-7 hr exam

Non-Randomized Cohort

As described earlier, a non-randomized arm of the study was performed. A total of 50 subjects were enrolled at 10 sites in the non-randomized phase of the study subsequent to the completion of enrollment of the randomized population. Subjects enrolled in this non-randomized phase underwent iStent® implantation in conjunction with cataract surgery. The purpose of this non-randomized phase of the study was to collect safety data on additional subjects. The randomized and non-randomized phases of the study used identical inclusion and exclusion criteria. Both phases used the same standardized procedures, applied the same surgical techniques, and placed the stent in the same anatomical location. Of the 50 subjects enrolled, 46 were implanted with the iStent®, 2 subjects withdrew before surgery and 2 subjects were exited after surgery following unsuccessful iStent® implantation. Preoperative parameters in the non-randomized population were similar to those in the randomized population.

Results of the 46 subjects successfully implanted with the iStent® (the Non-Randomized Population) are presented in **Tables 7- 10**. Forty-four subjects completed follow-up through Month 24, and 2 subjects terminated from the study prior to Month 24. There were no stents removed or replaced during the 24-month follow-up period.

TABLE 7 IOP ≤21 mmHg WITHOUT OCULAR HYPOTENSIVE MEDICATIONS IOP REDUCTION ≥ 20% WITHOUT OCULAR HYPOTENSIVE MEDICATIONS NON-RANDOMIZED POPULATION AT 12 MONTHS		
Non-Randomized Cataract Surgery with iStent (N = 46)	IOP ≤21 mmHg without Ocular Hypotensive Medications (%)	IOP Reduction ≥ 20% without Ocular Hypotensive Medications (%)
ITT using Non-Responder Analysis	78%	72%

TABLE 8 OPERATIVE COMPLICATIONS FROM STENT IMPLANTATION SUBJECTS WITH iSTENT IMPLANT		
Non-Randomized Cataract Surgery with iStent (N = 46)		n (%)
Iris damage*		1 (2.2%)
Stent malposition*		1 (2.2%)
Ocular pain during insertion		1 (2.2%)
Iris touched by the device		3 (6.5%)
Endothelial touch		1 (2.2%)
Anterior chamber collapse		1 (2.2%)

* same eye
Note: Two subjects were discontinued before surgery, and two did not have successful stent implantation. These four subjects are not in the populations of subjects with an iStent implant and were excluded from calculations in the table.

TABLE 9 POSTOPERATIVE OCULAR ADVERSE EVENTS NON-RANDOMIZED POPULATION		
Adverse Events	Cataract Surgery with iStent® N = 46 n (%)	
Anticipated early postoperative event		
Early postop anterior chamber cells	2 (4%)	
Early postop corneal edema	2 (4%)	
Early postop anterior chamber inflammation	1 (2%)	
Early postop corneal abrasion	1 (2%)	
Early postop corneal erosion	1 (2%)	
Early postop corneal striae	1 (2%)	
Early postop pain	1 (2%)	
Epiretinal membrane	4 (9%)	
Posterior capsular opacification	4 (9%)	
Any BCVA loss of at least 1 line at or after the three month visit	3 (7%)	
Blepharitis	2 (4%)	
Blurry vision or visual disturbance	2 (4%)	
Posterior vitreous detachment	2 (4%)	
Stent malposition	2 (4%)	
Stent obstruction by iris, vitreous, fibrous overgrowth,fibrin, blood, etc.	2 (4%)	
Vitreous floaters	2 (4%)	
Age related macular degeneration	1 (2%)	
Allergic conjunctivitis	1 (2%)	
Blepharoconjunctivitis	1 (2%)	
Cystoid macular edema	1 (2%)	
Elevated IOP – other	1 (2%)	
Iris incarceration	1 (2%)	
Keratitis	1 (2%)	
Periorbital swelling	1 (2%)	
Unwanted eyelid sensation	1 (2%)	
Uveitis	1 (2%)	
Vitreous condensations	1 (2%)	
Worsening of age related macular degeneration	1 (2%)	
Worsening of glaucoma	1 (2%)	
Bleeding (vitreous hemorrhage or persistent & non-preexisting hyphema)	0 (0%)	
Corneal edema	0 (0%)	
Transient hypotony	0 (0%)	
Choroidal detachment	0 (0%)	
Endophthalmitis	0 (0%)	

TABLE 10
SECONDARY SURGICAL INTERVENTIONS – POSTOPERATIVE OCULAR ADVERSE EVENTS
NON-RANDOMIZED POPULATION

Secondary Surgical Intervention Adverse Events	Non-Randomized Cataract Surgery with iStent® N = 46 n (%)
Paracentesis¹	12 (26%)
Nd:YAG laser capsulotomy	7 (15%)
Nd:YAG laser for stent obstruction	1 (2%)
Iris reposition	1 (2%)

1. included paracentesis at the 5-7 hr. exam

14. POST-APPROVAL STUDY RESULTS

Study Objective

In accordance with the PMA conditions of approval, a post-approval study was conducted. Following approval by FDA on March 5, 2013 of the study protocol entitled “GTS100-Post Approval Study (PAS)”, the study was initiated. The goal of this study was to demonstrate that use of this device in conjunction with cataract surgery did not result in a rate of sight-threatening adverse events, after 5 years of implantation, that was higher than the rate of sight-threatening adverse events that occurs after cataract surgery alone, by more than a non-inferiority margin of 5%.

Study Design

This was an extended follow-up study involving subjects previously enrolled in Glaukos Study GC-003. Extended follow-up was planned in subjects eligible to participate in this follow-up study. Subjects were to be followed for five years postoperatively (one final visit was conducted on those subjects who were past the five year post-operative time-point). Please note that no postoperative specular microscopy was performed, because preoperative specular microscopy was not performed in the pivotal trial.

Study Population

The study included subjects previously enrolled in Glaukos Study GC-003 who would be able and willing to participate in this extended follow-up study. The study excluded subjects previously enrolled in Glaukos Study GC-003 who would not be able or willing to participate in this extended follow-up study, as well as patients not previously enrolled in Glaukos Study GC-003.

Study Endpoint

The primary endpoint was the occurrence of sight-threatening adverse events. Sight-threatening adverse events included events such as BCVA loss ≥ 3 lines vs. baseline, endophthalmitis, corneal decompensation, retinal detachment, severe choroidal hemorrhage, severe choroidal detachment and aqueous misdirection.

Total Number of Enrolled Study Sites and Subjects; Length of Follow-Up

The first subject had enrolled in Pivotal Trial GC-003 in 2005, and the final subject had enrolled in February, 2008. The final subject exited Study GC-003 on March 18, 2010. At the time the study protocol was approved by FDA in March of 2013, all eligible subjects had passed the Month 60 visit window. Overall, the time from surgery to the final GTS100-PAS visit was 6.6 years in the overall iStent® + cataract surgery group (pooled randomized phase and non-randomized cohort subjects) and 6.8 years in the randomized cataract surgery group. Of the 27 original study sites participating in Pivotal Trial GC-003, 25 sites participated in this post-approval study. Of the 279 subjects (162 overall iStent® + cataract surgery subjects plus 117 cataract surgery only subjects), a total of 255 subjects (148 overall iStent® + cataract surgery subjects plus 107 cataract surgery only subjects) had completed follow-up through 2 years in Study GC-003 and were eligible for enrollment. Of these, 108 subjects (73 iStent® + cataract surgery subjects and 35 cataract surgery only subjects) were enrolled in the post-approval study. The reasons for 108 of 255 subjects enrolled were due to the extended length of time between final subject exit from Study GC-003 (March 18, 2010) and approval by FDA of the post-approval extended follow-up study 3 years later, by which time many of the exited subjects in this elderly population had either expired or were no longer available or willing to participate in a clinical study. To this point, the average age at time of enrollment was 78 (SD 8.0) years in the overall iStent® + cataract surgery group and 75 (SD 8.4) years in the cataract surgery only group.

Final Safety Findings – All Sight-Threatening Adverse Events from Pivotal Trial and Post-Approval Study

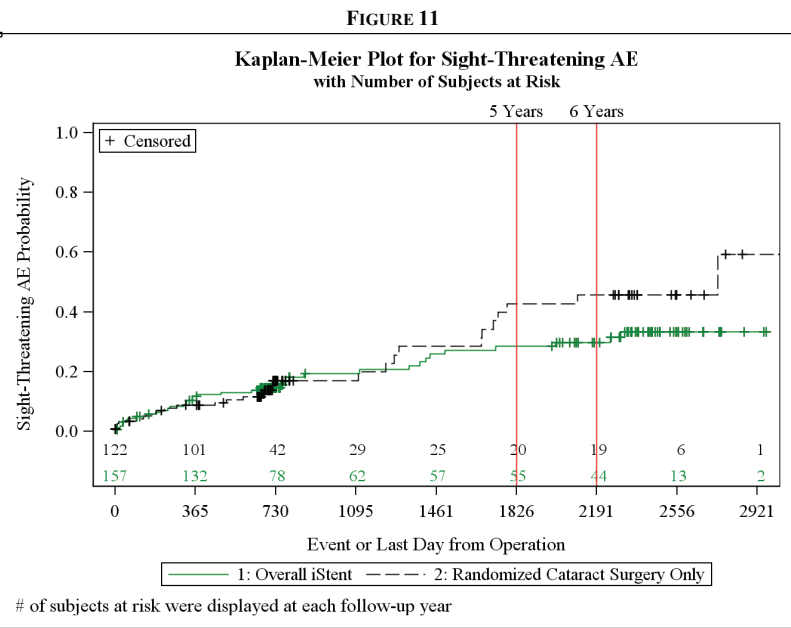
Table 11 presents all sight-threatening adverse events reported from both Pivotal Trial GC-003 and Study GTS100-PAS for the overall iStent® + cataract surgery group and the randomized cataract surgery only group.

TABLE 11 ALL SIGHT-THREATENING ADVERSE EVENTS (DATA FROM GC-003 AND GTS100-PAS)				
Adverse Event	Overall Cataract Surgery with iStent® N = 157	n of Events	Randomized Cataract Surgery Only N = 122	n of Events
	n of Subjects with Event (%)		n of Subjects with Event (%)	
Epiretinal membrane	9 (6%)	9	2 (2%)	4
Loss of BCVA of 3 lines or more vs. baseline at any time postoperatively	2 (1%)	2	7 (6%)	7
Age related macular degeneration or worsening of age related macular degeneration	9 (6%)	10	7 (6%)	7
Worsening of glaucoma	4 (3%)	4	2 (2%)	2
IOP increase requiring management with oral or intravenous medications or surgical intervention (IOP treated with oral medication at 6 hour visit is not an Adverse Event)	4 (3%)	5	3 (2%)	3
Cystoid macular edema	4 (3%)	4	1 (<1%)	1
Increased cup to disc ratio	4 (3%)	4	0 (0%)	0
Disc hemorrhage	1 (<1%)	1	3 (2%)	3
Elevated IOP requiring treatment with oral or intravenous medications or surgical intervention	1 (<1%)	1	3 (2%)	6
Macular edema	1 (<1%)	1	2 (2%)	2
Any other event that could lead to significant vision loss, if not appropriately treated¹	2 (1%)	2	1 (<1%)	1
Iris atrophy	2 (1%)	2	0 (0%)	0
Retinal detachment	2 (1%)	2	0 (0%)	0
Significant corneal complications including edema, opacification, decompensation	2² (1%)	2²	0 (0%)	0
Uveitis	2 (1%)	3	0 (0%)	0
Corneal edema	1 (<1%)	1	0 (0%)	0
Eye splash injury	1 (<1%)	1	0 (0%)	0
Brow ache	1 (<1%)	1	0 (0%)	0
Retinal flap tears	1 (<1%)	1	0 (0%)	0
Choroidal detachment	0 (0%)	0	1 (<1%)	1
Endophthalmitis	0 (0%)	0	1 (<1%)	1
Macular hole	0 (0%)	0	1 (<1%)	1
Proliferative diabetic retinopathy	0 (0%)	0	1 (<1%)	1
Segmental loss of neuroretinal rim	0 (0%)	0	1 (<1%)	1
Any choroidal hemorrhage	0 (0%)	0	0 (0%)	0
Aqueous misdirection	0 (0%)	0	0 (0%)	0
Any³	36 (23%)	56	28 (23%)	41

- Advanced open angle glaucoma and advanced optic atrophy were reported for 1 subject in the iStent group. Choroidal neovascularization was reported for 1 subject in the iStent group and 1 subject in the control group.
- Two subjects with pre-existing Fuchs' dystrophy prior to iStent + cataract surgery reported with corneal decompensation. (A third iStent subject with pre-existing Fuchs' dystrophy did not report with corneal decompensation.) The two subjects underwent Descemet's stripping endothelial keratoplasty 4 and 5 years, respectively, after their iStent surgery (also refer to Table 13). Both subjects experienced worsening of the disease in their fellow eyes as well, and one subject underwent penetrating keratoplasty in their fellow eye. The investigators considered these events "definitely unrelated" to iStent. One of the investigators stated the adverse event was "typical chronic evolution of Fuchs' corneal dystrophy".
- Number of subjects reported with any adverse events. Subjects could report with more than one adverse event.

Rate of Sight-Threatening Adverse Events from Pivotal Trial and Post-Approval Study

Figure 11 presents the KM curves involving sight-threatening adverse events for the overall iStent® + cataract surgery group and the cataract surgery only group. Due to the small denominators beyond 6 years, the KM analyses comparisons were performed at 6 years. At 5 years, the rate of sight-threatening AEs was 28.5% for the overall iStent® + cataract surgery group and 42.8% in the cataract surgery only group. At 6 years, the rate of sight-threatening AEs was 29.9% for the overall iStent® + cataract surgery group and 45.7% in the cataract surgery only group, and the p-value for the comparison between the overall iStent® + cataract surgery group and cataract surgery only group, and against a non-inferiority margin of 5%, was 0.011, indicating that the overall iStent® + cataract surgery group was not inferior to the cataract surgery only group. In addition, the p-value of the log rank test was 0.317, confirming that the sight-threatening rate over 6 years was not statistically different between the overall iStent® + cataract surgery group and cataract surgery only group.



Postoperative Ocular Adverse Events

Table 12 presents all postoperative ocular adverse events reported from Study GTS100-PAS for the overall iStent + cataract surgery group and the randomized cataract surgery only group. Table 13 summarizes ocular surgeries from Study GTS100-PAS.

TABLE 12 POSTOPERATIVE OCULAR ADVERSE EVENTS FOR STUDY EYES GTS100-PAS				
Adverse Event	Overall Cataract Surgery with iStent® N = 73	n of Events	Randomized Cataract Surgery Only N = 35	n of Events
	n of Subjects with Event (%)		n of Subjects with Event (%)	
Age related macular degeneration or worsening of age related macular degeneration	6 (8%)	7	6 (17%)	6
Amaurosis fugax	0 (0%)	0	1 (3%)	1
Anterior ischemic optic neuropathy	0 (0%)	0	1 (3%)	1
Any intracascular inflammation (non pre-existing) remaining or arising after the protocol's specified medication regimen is complete (1+ cells or flare will not be considered an AE unless persisting ≥=1 month postoperative)	1 (1%)	1	0 (0%)	0
Any other event that could lead to significant vision loss, if not appropriately treated¹	2 (3%)	2	1 (3%)	1
Bleeding (vitreous hemorrhage or persistent & non-preexisting hyphema)	1 (1%)	1	0 (0%)	0
Blepharitis	6 (8%)	6	4 (11%)	6
Blurry vision or visual disturbance	4 (5%)	5	0 (0%)	0
Branch retinal vein occlusion	1 (1%)	1	0 (0%)	0
Brow ache	1 (1%)	1	0 (0%)	0
Chalazion	0 (0%)	0	1 (3%)	1
Conjunctival hyperemia	1 (1%)	1	0 (0%)	0
Conjunctival irritation due to hypotensive medication	1 (1%)	1	1 (3%)	1
Conjunctivitis	2 (3%)	3	1 (3%)	1
Corneal abrasion	0 (0%)	0	1 (3%)	1
Corneal graft edema	1 (1%)	1	0 (0%)	0
Cotton wool spot	0 (0%)	0	1 (3%)	1
Cystoid macular edema	2 (3%)	2	0 (0%)	0
Deep stents ("buried" in the trabecular meshwork) that are not visible	2 (3%)	2	0 (0%)	0
Dermatochalasis	4 (5%)	4	2 (6%)	2
Dot hemorrhage	0 (0%)	0	1 (3%)	1
Drusen	1 (1%)	1	1 (3%)	1
Dry eye	18 (25%)	18	7 (20%)	8
Ectropion	1 (1%)	1	0 (0%)	0
Elevated IOP	1 (1%)	1	2 (6%)	2
Epiphora	2 (3%)	2	0 (0%)	0
Epiretinal membrane	3 (4%)	3	1 (3%)	2
Episcleritis	0 (0%)	0	1 (3%)	1
Floppy eyelid syndrome	1 (1%)	1	0 (0%)	0
Foreign body sensation	3 (4%)	3	2 (6%)	2
Fuchs' dystrophy	1 (1%)	1	0 (0%)	0
Glaucoma progression	2 (3%)	2	1 (3%)	1
Goniosynechiae	2 (3%)	2	0 (0%)	0
Hollenhurst plaque	1 (1%)	1	0 (0%)	0
Hyperemia	1 (1%)	1	0 (0%)	0
IOP increase ≥= 10 mmHg vs. baseline IOP occurring at any visit	2 (3%)	2	0 (0%)	0
IOP increase requiring management with oral or intravenous medications or with surgical intervention (Note: IOP treated with oral medication at the 6 hour visit is not an Adverse Event)	4 (5%)	5	3 (9%)	3
Implicated meibomian glands	1 (1%)	1	0 (0%)	0
Increased cup to disc ratio	4 (5%)	4	0 (0%)	0
Lacrimal stenosis	1 (1%)	1	0 (0%)	0
Lid edema	0 (0%)	0	1 (3%)	1
Loss of best corrected visual acuity (BCVA) of 3 lines or more vs. baseline at any time postoperatively	1 (1%)	1	2 (6%)	2
Loss of best spectacle corrected visual acuity (BSCVA) of 2 lines or more (logMAR scale; 10 letters or more on ETDRS chart) postoperative as compared to baseline or best recorded visual acuity measured at any visit postoperative (NOTE: a loss of BSCVA in conjunction with posterior capsular opacification, followed by Nd:YAG capsulotomy and improvement of BSCVA, is NOT considered an adverse event)	17 (23%)	17	7 (20%)	7
Macular hemorrhage	1 (1%)	1	0 (0%)	0
Macular scar	0 (0%)	0	1 (3%)	1
Meibomian cyst	1 (1%)	1	0 (0%)	0
Meibomitis	1 (1%)	1	0 (0%)	0
Nerve fiber layer loss	0 (0%)	0	1 (3%)	1
Nonproliferative diabetic retinopathy	4 (5%)	4	0 (0%)	0
Notched lids	1 (1%)	1	0 (0%)	0
Ocular irritation/itching	4 (5%)	5	0 (0%)	0
Ocular migraine	1 (1%)	1	0 (0%)	0
Ocular pain	1 (1%)	1	1 (3%)	1
Optic TIA	1 (1%)	1	0 (0%)	0
Pain	2 (3%)	2	0 (0%)	0
Papilloma	3 (4%)	3	0 (0%)	0
Periorbital redness	1 (1%)	1	0 (0%)	0
Periorbital swelling	1 (1%)	1	0 (0%)	0
Peripapillary scarring	1 (1%)	1	0 (0%)	0
Photophobia	2 (3%)	2	0 (0%)	0
Pigmentary macular fibrosis	1 (1%)	1	0 (0%)	0
Posterior capsular opacification	18 (25%)	18	9 (26%)	10
Posterior vitreous detachment	8 (11%)	8	3 (9%)	3
Postoperative discomfort (NOTE: postoperative discomfort up to and including the Week 1 postoperative exam is NOT considered an adverse event)	1 (1%)	1	0 (0%)	0
Ptoisis	4 (5%)	4	2 (6%)	2
Punctal eversion	2 (3%)	2	0 (0%)	0
Retinal detachment	2 (3%)	2	0 (0%)	0
Retinal flap tears	1 (1%)	1	0 (0%)	0
Retinal pigment epithelial changes	1 (1%)	1	1 (3%)	1
Scotoma	2 (3%)	2	3 (9%)	3
Secondary surgical intervention	1 (1%)	1	0 (0%)	0
Significant corneal complications including edema, opacification, decompensation (NOTE: a classification of mild or moderate corneal edema up to and including Week 1 postoperative is NOT considered an adverse event)	2² (3%)	2²	0 (0%)	0
Stent malposition	1 (1%)	1	0 (0%)	0
Stent obstruction (i.e., positive visualization of lumen obstruction), partial or complete, regardless of how long the obstruction is present	1 (1%)	1	0 (0%)	0
Sube	0 (0%)	0	1 (3%)	1
Subconjunctival hemorrhage	2 (3%)	2	0 (0%)	0
Telangiectasia	1 (1%)	1	0 (0%)	0
Trichiasis	3 (4%)	3	0 (0%)	0
Visual field defect	8 (11%)	8	1 (3%)	1
Vitreous floaters	8 (11%)	9	3 (9%)	4
Vitreous in anterior chamber	0 (0%)	0	1 (3%)	1
Vitreous syneresis	1 (1%)	1	1 (3%)	1
Watery eyes	1 (1%)	1	1 (3%)	1
Any²	60 (82%)	202	28 (80%)	84

- Advanced open angle glaucoma and advanced optic atrophy were reported for 1 subject in the iStent group. Choroidal neovascularization was reported for 1 subject in the iStent group and 1 subject in the control group.
- Two subjects with pre-existing Fuchs' dystrophy prior to iStent + cataract surgery reported with corneal decompensation. (A third iStent subject with pre-existing Fuchs' dystrophy did not report with corneal decompensation.) The two subjects underwent Descemet's stripping endothelial keratoplasty 4 and 5 years, respectively, after their iStent surgery (also refer to Table 13). Both subjects experienced worsening of the disease in their fellow eyes as well, and one subject underwent penetrating keratoplasty in their fellow eye. The investigators considered these events "definitely unrelated" to iStent. One of the investigators stated the adverse event was "typical chronic evolution of Fuchs' corneal dystrophy".
- Number of subjects reported with any adverse events. Subjects could report with more than one adverse event.

TABLE 13 OCULAR SURGERIES AFTER GC-003 STUDY
